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Patentanmeldung Nr. Patent application No. Demande de brevet nº

04101472.1

PRIORITY

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

> Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

R C van Dijk

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Modulation code system and methods for encoding and decoding a signal

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Modulation code system and methods for encoding and decoding a signal

The invention relates to a modulation code system as shown in figure 4, including an encoder 100 for transforming an original signal s into an encoded signal c satisfying predefined second constraints before being transmitted via a channel 300 or stored on a recording medium (not shown). That modulation code system further comprises a decoder 200 for decoding the encoded signal c after restoration or receipt back into the original signal s. The invention further relates to a decoder, encoder. Furthermore the invention relates to a method for encoding and decoding.

Such a modulated code system known in the art is substantially used in data transmission systems or data storage systems.

The invention further relates to known methods for operating the encoder 100 and the decoder 200.

Hereinafter different signals are referred to, satisfying different constraints, respectively. The constraints are typically either simple or complicated. A signal satisfying simple constraints is e.g. a (0,k)-constrained signal, which is a binary signal where the number of consecutive zeros is at most k+1. To the contrary, a signal satisfying complicated constraints is a signal obeying run length constraints on more complicated patterns, like e.g. the transition patterns of the anti-whistle patterns as listed in table 1.

Traditionally, encoders or decoders of modulation code systems use specific modulation methods, e.g. the enumerative encoding method or the integrated scrambling method. The enumerative encoding method is e.g. known from K.A.S. Immink, "A practical method for approaching the channel capacity of constrained channels", IEEE Trans. Inform. Theory, vol. IT-43, no. 5, pp.1389-1399, Sept. 1997. The integrated scrambling method is e.g. known from K.A.S. Immink, "Codes for mass data storage systems", Shannon Foundation Publishers, The Netherlands, 1999.

Modulation codes such as (d,k)-codes and (d,k)-RLL codes are widely employed in digital transmission and storage systems. A modulation code consists of an encoder which servers to transform arbitrary sequences of source bits into sequences that obey certain constraints and a decoder to recover the original source from the constrained sequence. A binary sequence is said to be (d,k)-constrained if any two consecutive ones in

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the sequence are separated by at least d and at most k zeroes; it is said to be (d,k)-RLL constrained if the minimum and maximum run lengths are at least d+1 and at most k+1, respectively. The use of constrained sequences enable the data receiver to extract control information to be used for example timing recovery, gain control, or equalisation adaptation.

Many modern data receivers employ adaptive equalization or bandwidth control. In some CD or DVD systems two-dimensional adaptive equalization is used to combat not only inter-symbol interference along the track but also inter-track interference (cross-talk cancellation). Also, in certain data receivers the only adaptive part is a circuit for slope control. In order for such systems to function properly, the frequency components of the received signal must obey certain constraints which in turn dictates the use of data sequences in which the maximum (run)length of certain (periodic) data patterns are limited. As a typical example are mentioned constraints on data patterns of period 1 or 2 (k_1 - and k_2 -constraints) that are already used in practical systems. Periodic data patterns with a specific length will result in a whistle with a respective frequency. A known problem in receiving systems is that whistles in a received signal has a negative influence on the functioning of for example the PLL's in the receiver or gain control and thus the reconstruction of the transmitted data. Therefore, there is a need to generate data sequences that do not generate sequences that could negatively influence on the reconstruction of the transmitted data .

Hereafter some definitions are given to improve the understanding of the technical field.

A sequence is $(k; \mathbf{p})$ -pattern-constrained if it does not contain a run of length k of the pattern \mathbf{p} . Given a pattern $\mathbf{p} = (p_0 \, p_1 \, ... p_{e-1} \, p_e)$ which is interpreted as representing the periodic sequence ..., $p_0, p_1, ..., p_{e-1}, p_e, p_0, p_1, ..., p_{e-1}, ...$ of period e. A sequence is $(\mathbf{k}; \mathbf{P})$ -pattern constrained if the sequence is $(k_b \, \mathbf{p}^{(i)})$ -constrained for all i, wherein $\mathbf{k} = k_1, ..., k_i$, which is a sequence of positive integers k, and $\mathbf{P} = \mathbf{p}^{(1)}, ..., \mathbf{p}^{(i)}$, which is a sequence of periodic patterns. A sequence is P-pattern-constrained if it is $(\mathbf{k}; \mathbf{P})$ -pattern constrained for some \mathbf{k} .

A k-constraint sequence is a binary sequence where the number of consecutive zeroes is at most k. These sequences are precisely the $(k; \mathbf{p})$ -constrained sequences for the pattern $\mathbf{p} = (0)$.

A k-RLL-constrained sequence is a sequence with symbols from $\{-1,1\}$, thus a binary sequence, where the maximum run of each of the symbols is at most k+1. These sequences are precisely the (k;P)-pattern constrained sequences with k=k+1 and P=(-1), (1).

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An anti-whistle constrained sequence is a pattern that has only a single frequency component in the pass band ranging from dc to the Nyquist frequency. Table 1 discloses some anti-whistle patterns and the corresponding index. Anti-whistle transition

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patterns are obtained by one time integrating/differentiating the anti-whistle pattern.

index	anti-whistle pattern	period anti-	anti-whistle transition pattern	period anti-
		whistle		whistle transition
		pattern		pattern
1	0	1	0	1
2	01	2	1	1
4 ^a	0011	4	01	2
4 ^b	0111	4	0011	4
3	011	3	011	3
6	000111	6	001	3

Table 1: Anti-whistle transition patterns.

These known methods of encoding/decoding enable the transformation of the original signal s into the signal c satisfying second constraints and back, usually at a modulation code rate close to 1. The rate of a modulation code is a number that refers to the average number of encoded signals per source symbol: For example, an encoder of rate 1/2 code produces (on average) two encoded symbols for each source symbol.

At least the decoder of such known modulation code systems is usually implemented in hardware for enabling high-speed operation. However, hardware implementation of the above mentioned modulation code methods disadvantageously requires quite a lot of hardware, e.g. to store necessary tables. In the known modulation coders the relation between input words and corresponding output words is uniquely defined.

The invention relates to a modulation code system as shown in figure 4, including an encoder 100 for transforming an original signal s into an encoded signal c satisfying predefined second constraints before being transmitted via a channel 300 or stored on a recording medium. That modulation code system further comprises a decoder 200 for decoding the encoded signal c after restoration or receipt back into the original signal s.

Such a modulated code system known in the art is substantially used in data transmission systems or data storage systems.

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Starting from that prior art it is the object of the invention to improve a known modulation code system and known methods for operating an encoder and a decoder of said modulation code system such that they require less hardware.

This object is solved by the subject matters of apparatus claims 1, 2 and 9.

More specifically, this object is solved by an encoder comprising a modulation code encoder for transforming the original signal s into an intermediate signal t satisfying said predefined first constraints and a transformer encoder for transforming the intermediate signal t into the encoded signal c.

The first constraints may in general be simpler, equal or more complicated than the second constraints. However, in typical applications the first constraints are simpler than the second constraints.

The object is further solved by a decoder comprising a transformer decoder for re-transforming the encoded signal c into said intermediate signal t and a modulation code decoder for decoding the intermediate signal t into said original signal s.

The modulation code encoder and the modulation code decoder according to the invention do not need to fulfill any specific requirements and thus any suitable encoder or decoder may be used.

However, by designing the encoder as a series connection of a modulation code encoder with a transformer encoder and by designing the decoder as a series connection of the transformer decoder with the modulation code decoder the required hardware in both the encoder and decoder is advantageously essentially reduced.

An advantageous example of a simple transformer encoder design is given in claim 6 and an advantageous embodiment of a simple transformer decoder design is given in claim 11.

Further advantageous embodiments of a modulation code system, of the encoder or of the decoder are subject matters of the dependent claims. The term rate-1 transformer as there means a transformer having a modulation code rate equal to 1 such as a 99 to 100 coder.

The above-identified object of the present invention is further solved by an encoding and a decoding method according to claims 8 and 16. The advantages of these methods correspond to the advantages of the encoder and the decoder as discussed above.

An advantageous embodiment of the decoding method is given in Claim 16.

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Four figures are accompanying the description, wherein

- Fig. 1 shows the modulation code system according to the invention;
- Fig. 2 shows an embodiment of the transformer encoder according to the present invention;
- Fig. 3 shows an embodiment of the transformer decoder according to the present invention; and
 - Fig. 4 shows a modulation code system known in the art.

Several embodiments of the invention will be discussed by referring to figures 1-3.

Figure 1 shows a preferred embodiment of the modulation code system according to the present invention. It comprises an encoder 100, preferably having a modulation code rate close to or equal to 1. The encoder 100 comprises a modulation code encoder 110 for transforming an original signal s into an intermediate signal t satisfying predefined first constraints. The first constraints may e.g. be simple constraints; in that case the signal is for example the (0,k)-constrained signal as explained above. The intermediate signal t might be latched in a first memory (not shown).

The encoder 100 further comprises a transformer encoder 120 being connected in series behind that modulation code encoder 110 for transforming the intermediate signal t into an encoded signal c. The encoded signal c is subsequently e.g. transmitted via a channel 300 or stored on a recording medium (not shown). The recording medium can be any kind of storage medium such as optical record carrier (CD, DVD) or Hard Disk Drive

After transmission via said channel 300 or after restoration from said recording medium the encoded signal c is decoded in a decoder 200 in order to restore the original signal s. For achieving this restoration the decoder 200 comprises a transformer decoder 220 for re-transforming the encoded signal c into that intermediate signal t. The intermediate signal in the decoder might be latched by a second memory (not shown). The decoder 200 further comprises a modulation code decoder 210 which is connected in series behind that transformer decoder 200 for receiving said intermediate signal t output from said transformer decoder 220 and for decoding the intermediate signal t into an original signal s.

In the following detailed description of the transformer encoder according to Fig. 2 and of the transformer decoder according to Fig. 3 the signals s, t and c are assumed to

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be sequences of bits \mathbf{s}_j , t_j and \mathbf{c}_j , respectively, wherein the parameter j represents the clock of the signal or sequence.

Figure 2 shows a preferred embodiment of the transformer encoder 120. The transformer encoder comprises a shift-register 121 defining a window for selecting and outputting a predetermined number of m+1 bits c_{j} - c_{j-m} from the serial encoded signal c. For defining said window the shift register 121 comprises a series connection of m delay elements D the outputs of which represent the bits c_{j-1} - c_{j-m} , respectively. The bit c_j of the signal c is input the first of said delay elements D in said series connection and also output from the shift register 121. The transformer encoder 120 further comprises a computing logic 122 for receiving in parallel the m+1 bit-sequence c_{j} - c_{j-m} output by said shift-register 121 and for logically combining said sequence into a logical output value. The logical combination is done according to a predefined mathematical function $F(c_{j-1},...,c_{j-m})$. The transformer encoder 120 further comprises a logical XOR-Gate 123 for XOR combining a received bit t_j of the intermediate signal t with said logical output value output by said computing logic 122 in order to generate said a bit c_j of said encoding signal c. The transformer encoder 120 can be implemented in hardware as well as software.

Figure 3 shows a preferred embodiment of the transformer decoder 220. The transformer decoder comprises a shift-register 221 for defining a window for selecting a predetermined number of k+1 bits c_j-c_{j-k} from the received restored serial encoded signal c and for outputting said selected k+1 bits c_j-c_{j-k} in parallel to a decoding logic 222 which is also part of that transformer decoder 220. For defining said window the shift register 221 comprises a series connection of m delay elements D the outputs of which represent the bits $c_{j-1}-c_{j-k}$, respectively. The bit c_j of the signal c is input to the first of said delay elements D in said series connection and also output from the shift register 121. The decoding logic 222 serves for receiving and logically combining said k+1 parallel bits output from said shift-register 221 in order to restore the bits t_j of that intermediate signal t. Said transformer decoder 220 is preferably implemented in hardware in order to enable high-speed operation. Due to the specific hardware design shown in figure 3 the transformer decoder 220 is also referred to as sliding block decoder. The transformer decoder 220 carries out the inverse operation of said transformer encoder 120.

It shall once again be pointed out that the effort required for designing the encoder 100 and the decoder 200 according to the invention, is far less than the effort required for modifying the modulation code methods known in the art to comply with the new second constraints.

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In the following a mathematical discussion is made on the design of the transformer encoder followed by the description of a practical example. More specifically, the following considerations are made for any rate-1 finite-state transformer encoder 120, which is sliding block decodable. The discussion is limited to the case where the sliding block decoder is based on a simple block map so that invertibility problems need not be considered.

Let P be a collection of patterns. Here a pattern is a sequence $p=(p_0...p_{e-1})$ that stands for a periodic signal of period e. We say that a signal c satisfies a (k,p)-pattern constraint if c has at most k consecutive symbols in common with p, that is, for no integer n and no d from 0, ..., e-1, it is true that $c_{n+j}=p_{d+j}$ for j=0,...,k. (Here indices on p are to be considered modulo e.)

More general, a signal c is said to be P-pattern constrained if for each p from P the signal c is (k,p)- constrained for some k.

More specifically, in the following the problem of designing a complicated P-15 pattern constrained modulation code from a given simple k-constrained code is considered. In order to achieve this a simple block map ϕ needs to be constructed that annihilates (maps to 0) each of the patterns from P. A block map is a map $\phi: F^w \to E$ that maps w-tuples of symbols from some fixed finite alphabet F to symbols from a second alphabet E. Such a block map ϕ defines a map from sequences x over F to sequences y over E by letting $X_n = \phi(y_{n-w+1}, ..., y_n)$. A block map $\phi: F^w \to E$ is called simple if for all $a = (a_1, ..., a_{w-1})$ over F 20 the map $f_a:F\to E$ defined by $f_a(x)=\phi(a_1,\,...,\,a_{w\text{-}1},\,x)$ is onto, that is, for each y in E there exists x in F such that $f_a(x) = y$. It is true that a simple block map is always invertible. In what follows all symbols are assumed to be binary. Supposing that the window of the sliding block encoder has the size m+1, then for each pattern p=(p₀...p_{e-1}) from P and for each d, a 25 window content w₀...w_m where w_i=p_{i+d mod e} should be mapped to 0. Since the block map shall be simple it must be required that two window contents $w = w_0..w_{m-1}, w_m$ and $w' = w_0...w_{m-1}, w_m'$ with $w_m \neq w_m'$ are mapped to distinct bits. If the above two requirements are satisfied, then the concatenated code will obey a (k+m,p)-pattern constraint for each of the patterns p. In order to obtain pattern-constraints with varying severity, in addition only a 30 suffix of the window content must force the window content to be mapped to 0. So to design the block map, the collection of suffixes W, for which each window content with a suffix contained in W is mapped to 0, must be specified. In the view of the above, the collection W should have the following properties,

- The collection W does not contain two words $w = w_d...w_0$ and $w' = w_e'...w_0'$ for which $w_j = w_j'$ for $j = 0,..., \min(d,e)$.
- For each pattern $p = (p_0...p_{e-1})$ from P and for each d

with $0 \le d < e$ the

5 left-infinite word

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$$p[d]:=...p_{0}...p_{e-1}p_{0}...p_{e-1}p_{0}...p_{d}$$

has a suffix contained in W.

Then if m is the maximum length of words from W the block map ϕ with window size m is partly specified by requiring that $\phi(x_0...x_{m-1})=0$ whenever the word $x=x_0...x_{m-1}$ has a suffix in W. The first condition ensures both that the collection W is minimal (no word in W is suffix of another word in W) and that the partly specified block map can be extended to a simple block map; the second condition ensures that each run of length k+m+1 of a pattern p from P is mapped by the sliding block decoder to a run of zeroes of length at least k+1.

To build the minimal suffix list W(P) for a given collection P of patterns, it is proceeded as follows. For each pattern $p = p_0...p_{e-1}$ from P and for each shift d, where $0 \le d < e$, the left-infinite word p[d] is considered. Assuming that the w'(p,d) is the shortest suffix of p[d] that is not also suffix of another left-infinite word of this type. Then the word $w(p,d) := w'(p,d)p_d$ is included in the suffix list W(P). It is not difficult to see that the resulting suffix list W(P) is minimal, in the sense that if W is another valid suffix list for P, then each window contents that is forced to be mapped to 0 by the collection W is also forced to map to 0 by collection W(P).

In the following the above consideration shall be illustrated by an example.

In that example the design of a pattern-constrained code for the transition patterns of the anti-whistle patterns as listed in above Table 1 shall be considered. For each of this patterns Table 2 lists the sequence p[d], the minimal suffix w(p,d), a next bit and the resulting suffix w(p,d) to be included in the list W(P).

Assuming W denotes the collection of all words in the last column of Table 2 and further assuming W* denotes the collection of all words $w_0,..., w_{r-2}, w''_{r-1}$ for which the word $w_0,..., w_{r-2}, w_{r-1}$ is in W. (Given a binary symbol x, the complementary symbol 1-x is denoted by x".)

sequences p[d]	minimal suffix	next bit	Resulting suffix
·0	000	0	0000
1	111	1	1111
01	0101	0	01010
10	1010	1	10101
0011	0011	0	00110
1001	11001	1	110011
1100	1100	1	11001
0110	00110	0	001100
100	0100	1	01001
010	0010	О	00100
001	01001	0	010010
011	1011	0	10110
101	1101	1	11011
110	10110	1	101101

Table 2: Anti-whistle transition patterns and suffixes.

Note that this construction ensures that W and W* are disjoint. If $\phi: \{0,1\}^6 \to \{0,1\}$ is designed such that ϕ maps any 6-bit word with a suffix contained in W and any 6-bit word with a suffix contained in W* to 1, then ϕ can be extended to a simple block map; moreover, each such extension produces a rate-1 finite-state encodable sliding block decodable code which, when concatenated with a k-constrained code, produces a pattern-constrained code where the run of the various anti-whistle transition patterns p is limited to the values k_p as listed in Table 3.

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$\mathbf{k_p}$
k+3
k+3
k+4
k+5
k+5
k+5

Table 3: Maximum run lengths of anti-whistle transition patterns

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Though the invention is described with reference to preferred embodiments thereof, it is to be understood that these are non-limitative examples. Thus, various modifications are conceivable to those skilled in the art, without departing from the scope of the invention, as defined by the claims.

The use of the verb "to comprise" and its conjugations does not exclude the presence of elements or steps other than those stated in a claim. Furthermore, the use of the article "a" or "an" preceding an element does not exclude the presence of a plurality of such elements. In the claims, any reference signs placed between parentheses shall not be construed as limiting the scope of the claims. The invention may be implemented by means of hardware as well as software. The same item of hardware may represent several "means". Furthermore, the invention resides in each and every novel feature or combination of features.

CLAIMS:

1. Modulation Code system comprising

an encoder (100) for transforming an original signal s into an encoded signal c satisfying predefined second constraints before being transmitted via a channel (300) or stored on a recording medium; and

a decoder (200) for decoding the encoded signal c after restoration back into the original signal s;

wherein

the encoder (100) comprises a modulation code encoder (110) for transforming the original signal s into an intermediate signal t satisfying predefined first constraints and a transformer encoder (120) for transforming the intermediate signal t into the encoded signal c; and

the decoder (200) comprises a transformer decoder (220) for re-transforming the encoded signal c into said intermediate signal t and a modulation code decoder (210) for decoding said intermediate signal t into said original signal s.

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- 2. An encoder (100) as part of the system as claimed in claim 1, wherein the modulation code encoder (110) for transforming the original signal s into the intermediate signal t satisfying said predefined first constraints and
- the transformer encoder (120) for transforming the intermediate signal t into the encoded signal c.
 - 3. The encoder (100) according to claim 2, wherein the modulation code encoder (110) is an (0,k)-encoder.
- 25 4. The encoder (100) according to claim 2, wherein the encoder has a modulation code rate close to 1.
 - 5. The encoder (100) according to claim 4, wherein the transformer encoder (120) has a modulation code rate close or equal to 1.

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6.	The encoder (100) according to claim 2, wherein the transformer encoder	ler
(120) compris	ses	

a shift-register (121) for defining a window for selecting a predetermined number of m+1 bits c_j-c_{j-m} from the serial encoded signal c and for outputting said selected m+1 bits c_j-c_{j-m} in parallel;

a computing logic (122) for logically combining the received parallel (m+1)-bits c_{j} - c_{j-m} output by said shift-register (121) into a logical output value; and

a logical XOR-Gate (123) for XOR combining the bits t_j of the received intermediate signal t with said logical output value in order to generate the bits c_j of said encoded signal c.

- 7. The encoder (100) according to claim 2, wherein the transformer encoder (120) is implemented in software or hardware.
- 8. Encoding method for transforming an original signal s into an encoded signal c satisfying predefined second constraints;

characterized by the following steps:

transforming the original signal s into an intermediate signal t satisfying predefined first constraints; and

transforming the intermediate signal t into the encoded signal c satisfying said second constraints.

- 9. A decoder (200) as part of the system as claimed in claim 1, characterized by
 the transformer decoder (220) for re-transforming the encoded signal c into said intermediate
 signal t; and the modulation code decoder (210) for decoding said intermediate signal t into
 said original signal c.
- 10. The decoder (200) according to claim 9, wherein the transformer decoder 30 (220) is a sliding block decoder.
 - 11. The decoder (200) according to claim 9, wherein the transformer decoder (220) comprises

a shift-register (221) for defining a window for selecting a predetermined

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number of k+1 bits c_{j} - c_{j-k} from the received restored serial encoded signal c and for outputting said selected k+1 bits c_{j} - c_{j-k} in parallel; and

a decoding logic (222) for receiving and logically combining said parallel bits c_{j} - c_{j-k} from said restored encoded signal c in order to restore bits t_{j} of said intermediate signal t.

- 12. The decoder (200) according to claim 9, wherein the modulation code decoder (220) is a (0,k)-decoder.
- 10 13. The decoder (200) according to claim 9, wherein the decoder (200) has a modulation code rate close to 1.
 - 14. The decoder (200) according to claim 13, wherein the transformer decoder (220) has a modulation code rate close or equal to 1.
 - 15. The decoder (200) according to claim 9, wherein the transformer decoder (220) is implemented in hardware or software.
- 16. Decoding method for decoding a restored encoded signal c satisfying
 predetermined second constraints into an original signal s
 characterized by the following steps:
 - re-transforming the restored encoded signal c into an intermediate signal t satisfying predetermined first constraints; and
 - decoding the intermediate signal t into the original signal s.
 - 17. The decoding method according to claim 16, wherein the step of retransforming the encoded signal comprises the steps of:
 - a) determining the number k of consecutive bits c_j which must be considered in the restored encoded signal c in order to detect all specific patterns which are forbidden in the encoded signal according to said second constraints;
 - b) defining the window seize to k+1; and
 - c) determining a logic such that it transforms the k+1 bits c_j - c_{j-m} of the encoded signal c in the window in the case that these k+1 bits c_j - c_{j-m} represent a forbidden pattern into a bit value which corresponds to the value of the bit at the position k+2 of the encoded signal c.

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ABSTRACT:

The invention relates to a modulation code system and methods for encoding and decoding a signal to be used for data transmission or data storage. Such systems comprise an encoder 100 for transforming an original signal s into an encoded signal c satisfying predefined second constraints before being transmitted via a channel 300. Such modulation code systems further comprise a decoder 200 for decoding the encoded signal c after restoration back into the original signal s. It is the object of the invention to improve such known systems and methods in the way that they require less hardware. This object is solved according to the invention in that the encoder 100 comprises a modulation encoder 110 transforming the original signal s into an intermediate signal t satisfying predefined first constraints and a transformer encoder 120 for transforming the intermediate signal t into the encoded signal c. The object is further solved in the way that the decoder 200 comprises a transformer decoder 220 for re-transforming the encoded signal c into said intermediate signal t and a modulation code decoder 210 for decoding that intermediate signal t into said original signal s.

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Figure 1



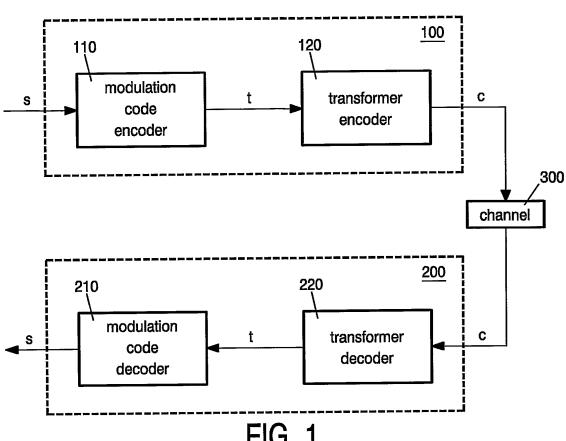


FIG. 1

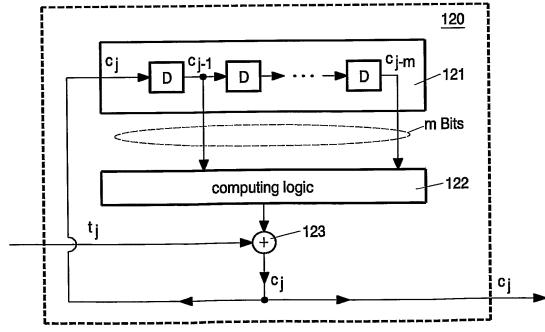


FIG. 2

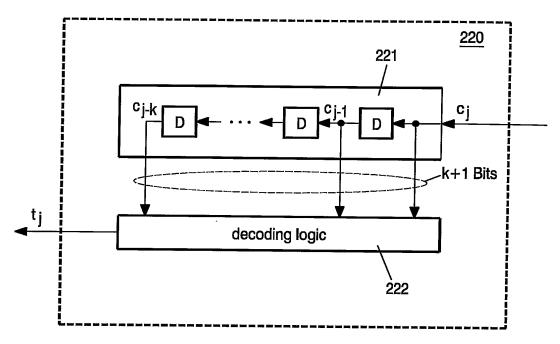


FIG. 3

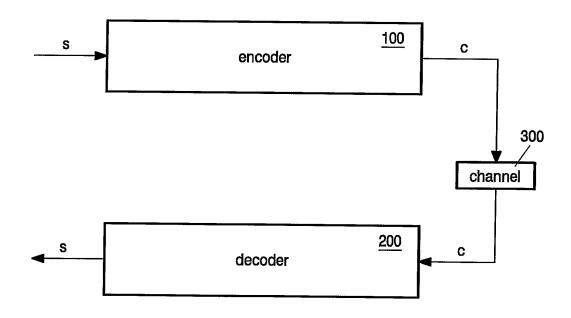


FIG. 4 prior art